
Professionalism in Medicine

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During the recent past, professionalism emerged as an explicit competence or outcome of modern medical curricula (Harden, 1999; Frank & Danoff, 2007; Zaini *et al* 2011; Tsugawa, 2009). The primary reason is that the general public in many countries repeatedly raised concerns on the professional conduct of doctors, compelling regulatory and professional bodies to take action. For example, isolated but significant incidents like the infamous case of Harold Shipman, a general practitioner who killed hundreds of patients under his care, triggered substantial reforms to the professional practice guidelines of the General Medical Council in the UK; 'professionalism' became a formal requirement to practice medicine (Jewell, 2005). The self-regulation of the profession by doctors themselves has been replaced with enhanced public scrutiny (Irvine, 2001). In USA, there were serious concerns about the way doctors manage conflict between self-interests and the interests of patients; altruism emerged as one of the corner stones of medical professionalism (American Board of Internal Medicine, ABIM: Project Professionalism Philadelphia, 2001). In addition, emerging evidence suggests that students or trainees, who demonstrated lapses of professionalism, are likely to face fitness to practice issues as future practitioners (Papadakis, 2004).

Dynamics of professionalism

Professionalism is not a static but a dynamic concept which has been changing throughout the history of allopathic medicine in response to societal changes and expectations (Sox, 2007). During the time of Hippocrates, doctors were similar to soothsayers, as the knowledge on disease processes was very limited.

The concept of professionalism, therefore, was focused on the formation of the identity of medicine as a profession by adopting the basic elements of 'evidence-based approach to practice' (Sox, 2007). In the 19th century, during which the industrial revolution was in its peak, 'professionalism' was focused on protecting patients from being mere victims of commercial and research interests of booming scientific and technological advancements (Sox, 2007). In the last few decades, with increasing demand for patient-centred medical practice, 'professionalism' has become a process of scrutinising the professional autonomy of doctors from the perspective of patients (Frank & Danoff, 2007).

Therefore, professionalism has increasingly been recognised as a social contract between doctors and society (Cruess & Cruess, 2000) Because of its nature, defining professionalism is challenging and it is further complicated by its sensitivity to cultural backgrounds (Chandrathilake *et al.*, 2012; Al-Eraky & Chandrathilake, 2012). What is defined as professionalism or lack of professionalism by the Western cultures may or may not make the same sense in Eastern cultures. Therefore, currently medical educationists and researchers attempt to 'understand' professionalism in relation to their own cultural and society context. However, there is general agreement that it encompasses a set of values, attitudes and behaviours. These attributes represent not only the relationship of doctors with patients, but their relationship with colleagues and other healthcare professionals in the team, and their behaviour in society (Chandrathilake, 2010). The attributes of professionalism appear to range from personal qualities (e.g. honesty and integrity, managing conflicts of interest) to regulatory requirements (e.g., ethical conduct, accountability) to skills (e.g. effective communication, reflective practice, teamwork) (Hodges *et al.*, 2011).

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Fostering professionalism

The nature of the concept naturally make us sceptic on the educability of professionalism. Can professionalism be taught, learned or be assessed? There is a growing body of evidence to suggest that it is an educable concept. Skills like communication, (Aspegren, 1999) reflection (Henderson & Johnson, 2002) or teamwork (Aarnio *et al.*, 2010) have been known as teachable constructs. Even certain personal qualities like honesty and integrity could be fostered (Bryan & Babelay, 2009). However, it is important to understand that these diverse groups of attributes cannot be inculcated in our students using a single approach.

In any educational programme, there are three facets of the curriculum operating in parallel: formal curriculum, i.e. what is planned and documented; informal, i.e. what is not planned and documented but what is known to be happening in the educational settings; and hidden curriculum, i.e. what is unknowingly transmitted to learners through the institutional culture (Hafferty, 1998). It is not difficult to include skills components of professionalism in the formal curriculum. In fact, these have already been incorporated to many modern curricula. For example, most of us as clinicians take part in explicit teaching and assessment of communication skills. However, encompassing ethical values and conduct, and personal qualities within the limits of the formal curriculum alone would not be entirely successful. For example, we could teach students the correct technique of hand washing and the ethics behind doing it properly as a moral obligation towards patient safety, and assess these aspects in simulated environments (e.g. OSCE). However, in wards, clinics or theatres, hand washing may not take place in the same vein as taught to students. In rare situations, there may not be proper hand washing practice at all in certain settings. When students are exposed to clinical environments they face a dilemma between what is learned and what is practised, and often they succumb to the influence of the latter, i.e. informal or hidden aspects of curriculum. Therefore, the informal and hidden aspects of curricula play a greater role in fostering some aspects of professionalism

(Hafferty, 1998). This does not mean that the formal curriculum should not explicitly address these aspects. Rather, students should be aware of morality and moral conduct by providing knowledge, experiential learning opportunities, and reflection on practice or observations (Cruess & Cruess, 2006). Most such attributes, however, are socially learned and are sustainable only in conducive institutional environments (Hafferty, 1998). Even at the early stages of medical education, teachers and clinicians become role models for students, and their behaviours, expressions and conduct become the sources and drivers of the informal and hidden curricula (Hafferty, 1998; Cruess *et al.*, 2008). Our actions or inactions and commissions or omissions as clinicians ultimately influence the formation of professional identities of our students. Therefore, the professional culture of an institution plays a vital role in fostering professionalism among students and trainees. Professional culture can be explored through attitude surveys, student narratives, observations and critical incident analysis, and may be manipulated accordingly to achieve the desired educational outcomes. The primary goal of 'teaching' professionalism, however, should be to open up the informal and hidden components of the professionalism curriculum through student reflections and discussions, and to provide conducive environments and appropriate platforms for the purpose in the formal curriculum.

Medical educationists have taken several approaches to assessing professionalism. However, the lack of a universally agreed definition for professionalism has made assessment challenging (van Mook *et al.*, 2009). The assessment of students' or trainees' awareness of professional expectations and requirements, and demonstration of this awareness appears to be the most basic approach. The commonly used assessment methods include written tests such as scenario-based multiple choice questions, Extended Matching Items, and OSCEs (Wilkinson *et al.*, 2009). Although this step is important, students can 'fake' professional attitudes and behaviours in such assessments, i.e. demonstrating professionalism rather than becoming professionals (Rees & Knight, 2007).

Therefore, the assessment of professionalism should essentially be extended beyond the assessment of the cognitive base. The assessment of reflection *in* and *on* practice on professionalism issues (Wilkinson *et al.*, 2009) may be a step higher than the assessment of the cognitive base. However, it should most appropriately be assessed in educational or work-place environments using observations and multisource feedback (MSF) (Wilkinson *et al.*, 2009). Observation of students / trainees for their professional approach to day-to-day clinical practice is an essential component of the assessment process. However, observations warrant considerable amount of staff time which may be a limiting factor of using this method in resource-limited clinical environments. In addition, collated perceptions of various members of the healthcare delivery team (e.g. peers and nurses) or different stakeholder groups (e.g. managers, patients, etc. in addition to the team members) would contribute substantially to understand professionalism of individuals. The role of assessing 'doctors' by other healthcare professionals or patients in situations such as MSF, however, may not be acceptable in certain cultures. Although it is an issue of professionalism, this attitude may threaten the utility of such assessments. Observations and MSF should be used repeatedly and in multiple settings to obtain credible insight into professional behaviour of students or trainees (Wilkinson *et al.*, 2009). Unlike clinical competence or incompetence, determining professional or unprofessional behaviour may not be straight forward or 'black and white'; assessors may feel 'uncomfortable' of failing students or trainees solely on the grounds of professionalism and such decisions may not be entirely 'acceptable' to assessors (Rees & Knight, 2009). This issue is further complicated by the fact that most professional lapses are less 'dramatic' but vital for patient safety, and there may not be consensus on how to respond to them (Roff *et al.*, 2011). Therefore, although summative assessments are necessary to ensure patient safety and professional accountability, professionalism should be assessed primarily for providing feedback and facilitating the development of right professional persona, i.e. assessing formatively (Hodges *et al.*, 2011). The main emphasis of professionalism assessment,

therefore, should be assessment *for* learning and such assessments should be carried out with a sound mutual understanding between the assessor and assessee on the constructive nature of the process.

In summary, professionalism should be understood in the backdrop of culture and context. It is learned by students primarily from role models. The professional culture of institutions also plays a major role in fostering professionalism. It should be assessed mainly to facilitate further learning, and multisource feedback and observations are the most useful methods of assessment. Amidst the changing nature, being explicit on the expected professional behaviour and taking steps to inculcate its elements in students and trainees has become important and essential in today's context.

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